



ALABAMA
NURSING
HOME
ASSOCIATION

Education Foundation

December 15, 2020

Mr. Kelly Butler
Director of Finance
Alabama Department of Finance
Alabama State Capitol
600 Dexter Avenue, Suite N-200
Montgomery, AL 36130

Re: That Certain Second Memorandum of Understanding (“Second MOU”) by and between the Department of Finance and the Alabama Nursing Home Association Education Foundation related to COVID-19 Costs and Insufficient Revenues

Dear Mr. Butler:

According to the reporting responsibilities outlined in the Second MOU between the State of Alabama Department of Finance (“DOF”) and the Alabama Nursing Home Association Education Foundation (the “Foundation”), the Foundation submits this report outlining (i) the status of the use of the CARES Act/Coronavirus Relief Funds allocated under the Second MOU (“Phase 2 Funds”) to help nursing homes respond to or mitigate the COVID-19 public health emergency, and (ii) the procedures adopted by the Foundation.

A. Claims Report:

1.	Through December 14, 2020, there are paid and pending claims filed by 103 ¹ Nursing Facilities Totaling	\$43,925,967 ²
2.	Total Hold-Backs related to paid and pending claims	<u>\$15,028,405</u>
3.	Total Paid and Pending Claims and Holdbacks	\$58,954,372
4.	Total Third-Party Accounting fees	<u>\$ 240,000</u>
5.	Total expended, pending, and hold-backs	\$59,194,372
6.	Amount Initially Granted	\$50,000,000

¹ These include all claims filed to date. December 10, 2020, was the final deadline for filing claims under both MOUs.

² After applying the 25% holdback, this includes claims have been paid and those that are in process.

7.	Allocation from Additional DOF Funds ³	\$ 6,260,713
8.	Deficit	(\$2,933,659) ⁴

For your convenience we are attaching a spreadsheet showing the listing of nursing facilities that have been paid to date under Phase 2 in November, but does not include the Phase 2 pending claims under review. While the cut-off for the final filing was December 10, 2020, it is possible that once all claims are processed there will be some adjustments. Any adjustments will be charged or credited to the holdback account. We expect to complete our process and pay the remaining claims, and as much as possible of the holdback in the next 7-days.

B. Claims Filing Procedures: Facilities file claims using a worksheet showing (i) a summary of the specific items for which reimbursement is requested (with supporting documentation such as receipts, purchase orders, payroll summaries, etc.) and (ii) insufficient revenue, related to the decline in census due to COVID-19, and which insufficient revenues are determined based following a prescribed formula. The worksheet requires the facility to deduct from amounts claimed any applicable mitigating COVID-19 funding received from other sources such as the Medicaid COVID add-on of \$20 per day per Medicaid patient day; DHHS Provider Relief Funds; and SBA Payroll Protection Payment loans attributable to payroll, if any. The worksheet is divided into months beginning April 2020, and if the mitigates exceed a facility’s claims in any month, no claims are paid and the unused portion of the mitigates are carried-forward and added to any mitigates received in the ensuing months. Therefore, due to the application of these mitigates, many facilities are just now becoming eligible to apply for and receive funds.

C. Administrative Procedure: To ensure balance in claim filing and administration, the Foundation adopted two procedural concepts:

1. Monthly deadlines for filing claims were established as September 30, October 20, November 20 and December 10. Claims received on or prior to the deadline date for that month would be processed and paid in a single payment cycle.
2. To guard against funds not being available in the event of a second or later COVID-19 wave, the Foundation is holding back 25% of approved claims. To the extent funds remain available, the hold-back will be restored in late November or early December on a pro-rated basis. The restoration ratio for each facility would be (i) the amount of that facility’s aggregate hold-backs (ii) divided by all hold-backs and (iii) multiplied by the aggregate amount the Foundation (in consultation with its third-party accountants) has determined is available to restore hold backs.

³ Of the \$12,465,117 in additional funds granted under the First Amendment to the Second MOU, \$5,954,464 were allocated to Lab Testing and Related Accounting fees and another \$250,000 was used for the “promote the vaccine” ad campaign. The remainder of the additional funds of \$6,260,713 are applied towards the Second MOU Phase 2 expenditures.

⁴ Deficit will be charged against the holdback and the remaining holdback will be pro-rated to facilities on a ratio and proportion basis.

The Foundation will next report its activity no later than December 28, 2020.

Please contact me if you have questions. We appreciate the opportunity to work together on this very important project.

Sincerely,

Brandon Farmer
On Behalf of the:
Alabama Nursing Home Association Education Foundation

Process Date	Vendor	Invoice #	Expenditures
12/1/2020	Aldridge Borden & Company	65729-Phase 2	
12/1/2020	Allen Health & Rehab	11252020-Oct-Phase 2	-\$133,135.00
12/1/2020	Andalusia Manor	11252020-Aug-Phase 2	-\$4,596.00
12/1/2020	Andalusia Manor	11252020-Sep-2020	-\$175,112.00
12/1/2020	Andalusia Manor	11262020-Oct-Phase 2	-\$255,804.00
12/1/2020	Arbor Springs Health and Rehab Center	10202020-Apr-Phase 2	-\$173,968.00
12/1/2020	Arbor Springs Health and Rehab Center	10202020-Jun-Phase 2	-\$205,185.00
12/1/2020	Arbor Springs Health and Rehab Center	11272020-Oct-Phase 2	-\$261,644.00
12/1/2020	Arbor Springs Health and Rehab Center	11272020-Sep-Phase 2	-\$284,493.00
12/1/2020	Arbor Springs Health and Rehab Center	10202020-Jul-Phase 2	-\$285,629.00
12/1/2020	Arbor Springs Health and Rehab Center	10202020-Aug-Phase 2	-\$296,982.00
12/1/2020	Arlington Rehabilitation & Health Care Center	11242020-Oct--Phase 2	-\$147,569.00
12/1/2020	Ashland Place Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$96,798.00
12/1/2020	Ashland Place Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$142,556.00
12/1/2020	Aspire Physical Recovery Center at Cahaba River, LLC	11282020-Oct-Phase 2	-\$18,763.00
12/1/2020	Aspire Physical Recovery Center at Cahaba River, LLC	11282020-Jul-Phase 2	-\$80,785.00
12/1/2020	Aspire Physical Recovery Center at Cahaba River, LLC	11282020-Sep-Phase 2	-\$93,616.00
12/1/2020	Aspire Physical Recovery Center at Cahaba River, LLC	11282020-Aug-Phase 2	-\$208,819.00
12/1/2020	Aspire Physical Recovery Center of West Alabama, LLC	11282020-Jun-Phase 2	-\$18,847.00
12/1/2020	Aspire Physical Recovery Center of West Alabama, LLC	11282020-Oct-Phase 2	-\$30,899.00
12/1/2020	Aspire Physical Recovery Center of West Alabama, LLC	11282020-Sep-Phase 2	-\$32,021.00
12/1/2020	Aspire Physical Recovery Center of West Alabama, LLC	11282020-Aug-Phase 2	-\$94,579.00
12/1/2020	Aspire Physical Recovery Center of West Alabama, LLC	11282020-Jul-Phase 2	-\$153,147.00
12/1/2020	Atmore Nursing Center, LLC	11272020-Oct-Phase 2	-\$47,767.00
12/1/2020	Butler Health and Rehab, LLC	11302020-Oct-Phase 2	-\$12,507.00
12/1/2020	Butler Health and Rehab, LLC	11302020-Sep-Phase 2	-\$31,236.00
12/1/2020	Camden Nursing Facility, Inc.	11252020-Oct-Phase 2	-\$84,116.00
12/1/2020	Capitol Hill Healthcare	11242020-Oct-Phase 2	-\$278,739.00
12/1/2020	Caregivers of Pleasant Grove	11242020-Oct-Phase 2	-\$5,173.00
12/1/2020	Cherokee County Health and Rehabilitation Center	11252020-Oct-Phase 2	-\$94,195.00
12/1/2020	Colonial Haven Care and Rehabilitation Center	11162020-Oct-Phase 2	-\$85,275.00
12/1/2020	Columbiana Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$71,174.00
12/1/2020	Columbiana Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$87,542.00

12/1/2020 Cottage of the Shoals	11032020-Sep-Phase 2	-\$60,066.00
12/1/2020 Cottage of the Shoals	11252020-Oct-Phase 2	-\$64,286.00
12/1/2020 Cottage of the Shoals	11032020-Aug-Phase 2	-\$77,443.00
12/1/2020 Crowne Health Care of Eufaula, LLC	11272020-Oct-Phase 2	-\$184,025.00
12/1/2020 Crowne Health Care of Greenville, LLC	11272020-Oct-Phase 2	-\$165,767.00
12/1/2020 Crowne Health Care of Mobile, LLC	11272020-Oct-Phase 2	-\$179,622.00
12/1/2020 Crowne Health Care of Montgomery, LLC	11262020-Oct-Phase 2	-\$230,988.00
12/1/2020 Crowne Health Care of Thomasville, LLC	11272020-Oct-Phase 2	-\$9,798.00
12/1/2020 Dadeville Healthcare Center	11162020-Sep-Phase 2	-\$65,648.00
12/1/2020 Eastview Rehabilitation & Health Care	11242020-Sep-Phase 2	-\$21,595.00
12/1/2020 Eastview Rehabilitation & Health Care	11242020-Oct-Phase 2	-\$64,563.00
12/1/2020 Englewood Health Care center, LLC	11262020-Oct-Phase 2	-\$67,936.00
12/1/2020 Fair Haven	11262020-Oct-Phase 2	-\$222,104.00
12/1/2020 Gadsden Health and Rehab	11242020-Sep-Phase 2	-\$98,362.00
12/1/2020 Gadsden Health and Rehab	11252020-Oct-Phase 2	-\$193,714.00
12/1/2020 Georgiana Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$13,066.00
12/1/2020 Georgiana Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$48,788.00
12/1/2020 Glen Haven Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$66,127.00
12/1/2020 Glen Haven Health and Rehabilitation, LLC	11282020-Aug-Phase 2	-\$155,016.00
12/1/2020 Glen Haven Health and Rehabilitation, LLC	11282020-Sep-Phase 2	-\$222,732.00
12/1/2020 Greenbriar at Altamont, LLC	11252020-Oct-Phase 2	-\$27,541.00
12/1/2020 Haleyville Health Care Canter, LLC	11292020-Oct-Phase 2	-\$24,928.00
12/1/2020 Henry County Health and Rehabilitation Facility	11252020-Aug-Phase 2	-\$13,511.00
12/1/2020 Henry County Health and Rehabilitation Facility	11252020-Sep-Phase 2	-\$147,939.00
12/1/2020 Henry County Health and Rehabilitation Facility	11252020-Oct-Phase 2	-\$173,405.00
12/1/2020 Jacksonville Health and Rehabilitation, LLC	11282020-Sep-Phase 2	-\$78,084.00
12/1/2020 Jacksonville Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$83,759.00
12/1/2020 LaFayette Extended Care, LLC	11302020-Jul-Phase 2	-\$6,153.00
12/1/2020 LaFayette Extended Care, LLC	11302020-Apr-Phase 2	-\$23,123.00
12/1/2020 LaFayette Extended Care, LLC	11302020-Aug-Phase 2	-\$27,123.00
12/1/2020 LaFayette Extended Care, LLC	11302020-Sep-Phase 2	-\$34,848.00
12/1/2020 Luverne Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$93,929.00
12/1/2020 Luverne Health and Rehabilitation, LLC	11282020-Sep-Phase 2	-\$188,020.00
12/1/2020 Magnolia Haven Rehabilitation & Health Care Center	11242020-Oct-Phase 2	-\$45,788.00

12/1/2020 Merry Wood Lodge	11252020-Oct - Phase 2	-\$53,969.00
12/1/2020 Merry Wood Lodge	11092020-Sep-Phase 2	-\$82,907.00
12/1/2020 Merry Wood Lodge	11092020-Aug-Phase 2	-\$107,631.00
12/1/2020 Monroe Manor Rehabilitation & Health Care Center	10202020-Aug-Phase 2	-\$35,638.00
12/1/2020 Monroe Manor Rehabilitation & Health Care Center	11242020-Oct-Phase 2	-\$80,583.00
12/1/2020 Monroe Manor Rehabilitation & Health Care Center	10202020-Sep-Phase 2	-\$108,379.00
12/1/2020 Noland Eastern Shore, LLC	11252020-Oct-Phase 2	-\$156,734.00
12/1/2020 Opp Health and Rehabilitation, LLC	1112820-Jul-Phase 2	-\$116,027.00
12/1/2020 Opp Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$151,456.00
12/1/2020 Opp Health and Rehabilitation, LLC	11282020-Sep-Phase 2	-\$225,427.00
12/1/2020 Opp Health and Rehabilitation, LLC	11282020Aug-Phase 2	-\$272,815.00
12/1/2020 Orchard Rehabilitation & Health Care Center	11242020-Oct-Phase 2	-\$15,088.00
12/1/2020 Ozark Health and Rehabilitation, LLC	11272020-Oct-Phase 2	-\$118,773.00
12/1/2020 Ozark Health and Rehabilitation, LLC	11272020-Aug-Phase 2	-\$174,061.00
12/1/2020 Ozark Health and Rehabilitation, LLC	11272020-Sep-Phase 2	-\$181,949.00
12/1/2020 Piedmont Health Care Center	11292020-Oct-Phase 2	-\$134,040.00
12/1/2020 Prattville Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$109,097.00
12/1/2020 Prattville Health and Rehabilitation, LLC	11272020-Jul-Phase 2	-\$117,398.00
12/1/2020 Prattville Health and Rehabilitation, LLC	11272020-Sep-Phase 2	-\$131,902.00
12/1/2020 Prattville Health and Rehabilitation, LLC	11272020-Aug-Phase 2	-\$184,734.00
12/1/2020 Regency Health Care and Rehab	11262020-Oct-Phase 2	-\$31,191.00
12/1/2020 Ridgeview Health Services	11252020-Oct -Phase 2	-\$218,460.00
12/1/2020 Ridgeview Health Services	11252020-Sep-Phase 2	-\$245,376.00
12/1/2020 Roanoke Rehabilitation & Health Care Center	11242020-Oct-Phase 2	-\$77,054.00
12/1/2020 Robertsdale Rehabilitation & Health Care Center	11292020-Oct-Phase 2	-\$91,389.00
12/1/2020 Signature Health Care of Whitesburg Gardens	11242020-Sep-Phase 2	-\$156,190.00
12/1/2020 Signature Health Care of Whitesburg Gardens	11242020-Oct-Phase 2	-\$223,267.00
12/1/2020 South Haven Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$93,370.00
12/1/2020 South Haven Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$122,567.00
12/1/2020 South Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$71,071.00
12/1/2020 South Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$87,961.00
12/1/2020 Southern Springs	11282020-Sep-Phase 2	-\$51,898.00
12/1/2020 Southern Springs	11282020-Oct-Phase 2	-\$117,394.00
12/1/2020 Springhill Senior Residence	11242020-Oct-Phase 2	-\$101,896.00

12/1/2020 Springhill Senior Residence	11192020-Sep-Phase 2	-\$107,053.00
12/1/2020 Sumter Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$84,546.00
12/1/2020 Sumter Health and Rehabilitation, LLC	11282020-Sep-Phase 2	-\$123,632.00
12/1/2020 Traylor Health & Rehab	10202020-Jul-Phase 2	-\$32,693.00
12/1/2020 Traylor Health & Rehab	10202020-Aug-Phase 2	-\$74,361.00
12/1/2020 Traylor Health & Rehab	11272020-Sep-Phase 2	-\$96,777.00
12/1/2020 Traylor Health & Rehab	11272020-Oct-Phase 2	-\$116,242.00
12/1/2020 Twin Oaks Rehabilitation & Health Care Center	11292020-Oct-Phase 2	-\$112,934.00
12/1/2020 USA Healthcare - Falkville, LLC	11162020-Oct-Phase 2	-\$173,056.00
12/1/2020 USA Healthcare - Morgan, LLC	11162020-Oct-Phase 2	-\$224,031.00
12/1/2020 USA Healthcare - Psychiatric Services - Alternate Core Site	11292020-Oct-Phase 2	-\$23,421.00
12/1/2020 USA Healthcare - Woodland Village, LLC	11162020-Oct-Phase 2	-\$59,095.00
12/1/2020 Valley View Health and Rehabilitation, LLC	11282020-Oct-Phase 2	-\$5,405.00
12/1/2020 Village at Cook Springs, LLC	11252020-Oct-Phase 2	-\$205,990.00
12/1/2020 Walker Rehabilitation Center	11242020-Oct-Phase 2	-\$44,396.00
12/1/2020 Wesley Place on Honeysuckle	11262020-Oct-Phase 2	-\$156,809.00
12/1/2020 West Gate Village, LLC	11032020-Sep-Phase 2	-\$186,875.00
12/1/2020 West Gate Village, LLC	11262020-Oct-Phase 2	-\$193,671.00
12/1/2020 Wetumpka Health and Rehabilitation, LLC	11242020-Sep-Phase 2	-\$124,723.00
12/1/2020 Wetumpka Health and Rehabilitation, LLC	11242020-Oct-Phase 2	-\$217,850.00
12/1/2020 Woodhaven Manor Nursing Home, LLC	11272020-Oct-Phase 2	-\$23,460.00
	Grand Totals	-\$13,807,180.00
	Expenditures from Prior Periods	-\$14,038,861.00
	Total Expended through 11/30/2020	-\$27,846,041.00